

# Chance-Constrained Spectrum Allocation for Fair LTE-U/Wi-Fi Coexistence

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2019 ICOIN

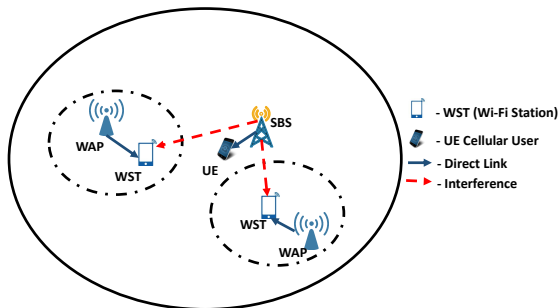
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Date : 11 Jan, 2019

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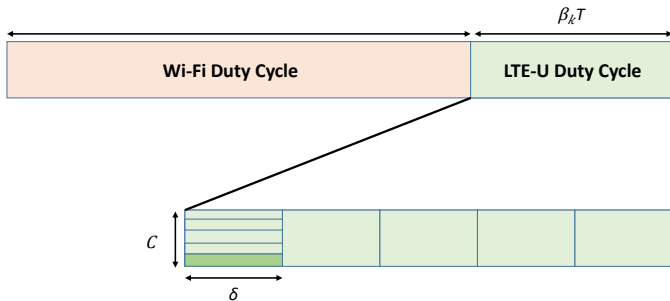
- Cellular networks are facing the challenges of spectrum scarcity while coping with the exponential growth in wireless traffic.
- To address such challenges of spectrum scarcity, enabling the LTE operation in unlicensed spectrum (LTE-U) is proposed.
- However, enabling LTE-U may cause severe performance degradation in the pertaining unlicensed spectrum technologies e.g. Wi-Fi.
- Therefore, a fair coexistence of Wi-Fi and LTE-U is required that can capture the uncertain performance of Wi-Fi system to dynamically allocate the redundant spectrum resources to LTE-U.

- We propose a *chance-constrained* fair spectrum management scheme for the coexistence of Wi-Fi and LTE-U.
- The chance-constrained optimization helps to capture the volatile performance of Wi-Fi system
- Chance-constrained optimization problem formulation is suitable for such coexistence problem to guarantee sufficient performance of Wi-Fi.
- In order to efficiently utilize the available LTE-U duty-cycle, we formulated the knapsack problem for the user association of cellular users.
- The knapsack problem efficiently packs the cellular users such that LTE-U rate is maximized while meeting the available duty-cycle bounds.

- We consider an SBS with a set  $\mathcal{U}$  of  $U$  cellular users coexisting with a Wi-Fi system consisting of Wi-Fi Access Points (WAPs) and Wi-Fi users.
- We compositely represent WAPs and Wi-Fi users as a set  $\mathcal{W}$  of  $W$  Wi-Fi stations.
- Both LTE-U and Wi-Fi system are operated on unlicensed spectrum having a set  $\mathcal{K}$  of  $K$  channels



- The duty-cycle is divided into two sub-duty-cycles among Wi-Fi and LTE-U for sharing the unlicensed spectrum of bandwidth capacity  $C$ .
- The LTE-U duty-cycle is represented by  $\beta_k T$ , where  $T$  is the length of total duty-cycle and  $\beta_k$  is the proportion of the duty-cycle allocated to LTE-U.



- In order to provide sufficient throughput to Wi-Fi, LTE-U duty-cycle i.e.  $\beta_k T$  is adjusted dynamically based on the performance of Wi-Fi system.
- To maintain the Wi-Fi performance at the desired level  $\vartheta$ , we use the following chance constraint.

$$P[(X_t + \beta_k T) \leq \zeta] \geq \vartheta, \quad (1)$$

where,  $X_t$  is the random time wasted in Wi-Fi collisions.  $\zeta$  represents the tolerance threshold of Wi-Fi performance. The chance-constraint limits the collision time and LTE-U duty-cycle under a threshold  $\vartheta$ .

- LTE-U duty-cycle is further divided into sub-frames each of time duration  $\delta$ .
- $C$  is the total bandwidth of each Wi-Fi channel  $k \in \mathcal{K}$ .
- This bandwidth can be divided into  $S = C/\omega$  number of LTE-U sub-channels, where  $\omega$  is the bandwidth of one LTE sub-channel.
- Having  $\beta_k T$  the length of LTE-U duty-cycle, the number of available LTE-U resource block units can be found as follows

$$Y_k = S \left\lfloor \frac{\beta_k T}{\delta} \right\rfloor, \quad (2)$$

where  $\delta$  represents the duration of each LTE-U resource block.

- The SNR of each cellular user can be represented as:

$$\gamma_u = \log \left( 1 + \frac{P_u g_u}{\sigma^2} \right), \quad \forall u \in \mathcal{U}, \quad (3)$$



To select the optimal cellular users, we introduce the following association variable:

$$x_u = \begin{cases} 1 & \text{if user } u \in \mathcal{U} \text{ is associated to the SBS,} \\ 0 & \text{Otherwise.} \end{cases}$$

### Optimization Problem:

$$\max_{\beta, x} \sum_{k \in \mathcal{K}} \beta_k C \sum_{u \in \mathcal{U}} x_u \log(1 + \gamma_u), \quad (4)$$

$$\text{s.t. } P[(X_t + \beta_k T) \leq \zeta] \geq \vartheta, \quad \forall k \in \mathcal{K}, \quad (4a)$$

$$\sum_{u \in \mathcal{U}} \eta_u x_u \leq Y_k, \quad \forall k \in \mathcal{K}, \quad (4b)$$

$$\beta_k \in [0, 1], \quad \forall k \in \mathcal{K}, \quad (4c)$$

$$x_u \in \{0, 1\}, \quad \forall u \in \mathcal{U}, \quad (4d)$$

Rearranging (1), we get:

$$P[(X_t) \leq \zeta - \beta_k T] \geq \vartheta, \quad (5)$$

where,  $P[(X_t) \leq \zeta - \beta_k T]$  can be considered as CDF of Poisson distribution and replaced as  $F_{X_t}(\zeta - \beta_k T)$ . By rearranging, we get:

$$\beta_k T \leq \zeta - \mathcal{F}_{X_t}^{-1}(\vartheta) \quad (6)$$

We get the following sub-problem:

$$\max_{\beta} \sum_{k \in \mathcal{K}} \beta_k, \quad (7)$$

$$\text{s.t. } \beta_k T \leq \zeta - \mathcal{F}_{X_t}^{-1}(\vartheta), \quad \forall k \in \mathcal{K}, \quad (7a)$$

$$\beta_k \in [0, 1], \quad \forall k \in \mathcal{K}. \quad (7b)$$

Optimal duty cycle is :

$$\beta_k^* = \frac{\zeta - \mathcal{F}_{X_t}^{-1}(\vartheta)}{T}, \quad \forall k \in \mathcal{K}. \quad (8)$$

The second sub-problem

$$\max_{\mathbf{x}} \sum_{k \in \mathcal{K}} \beta_k^* C \sum_{u \in \mathcal{U}} x_u \log(1 + \gamma_u), \quad (9)$$

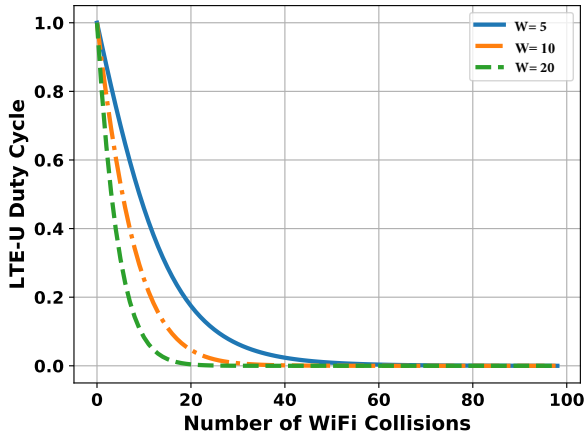
$$\text{s.t.} \quad \sum_{u \in \mathcal{U}} \eta_u x_u \leq Y_k, \quad \forall k \in \mathcal{K}, \quad (9a)$$

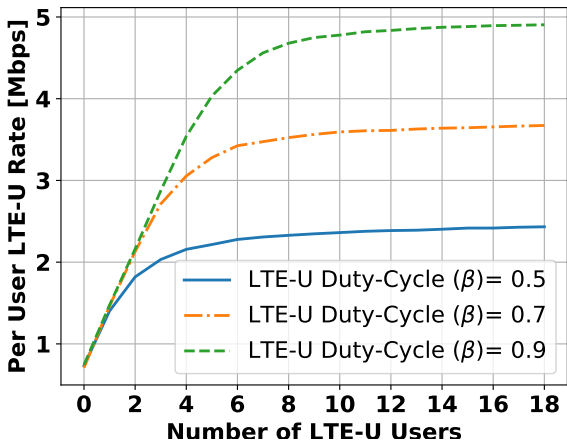
$$x_u \in \{0, 1\}, \quad \forall u \in \mathcal{U}. \quad (9b)$$

We solved the given Knapsack problem by dynamic programming.

1. Compose a two dimensional array  $V_{U*Y}$ , where  $U$  is the the number of cellular users in the network and  $Y$  is the total unlicensed resource blocks computed from LTE-U duty-cycle.
2. Set  $\mathbf{V}^{U*Y}$  to zero. Choose  $V[u, y]$  according to the following rule.

$$V[u, y] = \max(V[u - 1, y], \gamma_u + V[u - 1, y - \eta_u]) \quad (10)$$





- In this paper, we discussed the problem of unlicensed spectrum sharing between Wi-Fi and LTE-U networks.
- To provide fairness to Wi-Fi system while capturing the randomness of Wi-Fi network due to random collisions, we formulated the chance-constrained optimization problem.
- We decomposed the formulated problem and solved through stochastic optimization and knapsack problem for the LTE-U duty-cycle management and cellular users resource allocation.

**Thanks**